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Article title

Causal effect of serum matrix metalloproteinase levels on venous thromboembolism:
a Mendelian randomization study

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ABSTRACT

Objectives

Serum matrix metalloproteinase (MMP) levels are associated with cardiovascular diseases. However, the causal associations between serum levels of specific MMPs and venous thromboembolism (VTE) remain unclear. The present study sought to explore the causal relationship between serum MMP levels and VTE by using the Mendelian randomization (MR) method.

Methods

In this study 2-sample MR study, the exposure data on serum MMP levels were derived from genome-wide association studies involving 21,758 individuals from 13 cohorts of European descent. The outcome data on VTE, including deep vein thrombosis and pulmonary embolism, were derived from the FinnGen research project. The primary method used was the inverse-variance weighting method. The MR-Egger intercept test and the Cochran Q test were used to evaluate pleiotropy and heterogeneity.

Results

Using the inverse-variance weighting method, higher serum MMP-12 levels were found to be associated with an increased risk of VTE (odds ratio, 1.04; 95% confidence interval, 1.01–1.07; $p=0.0015$). Moreover, there was a weak association between the levels of certain MMPs and

25 VTE. Sensitivity analyses revealed no significant heterogeneity and pleiotropy in our study,
26 and the Steiger directionality test did not reveal a significant reverse causation association.

27 **Conclusions**

28 There is a causal association between MMP-12 levels and VTE, which may have substantial
29 implications for the diagnostic and therapeutic strategies used for VTE.

30

31 **Keywords:** Venous thromboembolism, Mendelian randomization method, serum matrix
32 metalloproteinases, deep vein thrombosis, pulmonary embolism

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34

35 **INTRODUCTION**

36 Venous thromboembolism (VTE) is a multicausal disease that includes pulmonary embolism
37 (PE) and deep vein thrombosis (DVT). It ranks as the third most common cardiovascular
38 disease, affecting nearly 10 million people worldwide annually [1-3]. VTE is associated with
39 both inherited genetic factors and acquired conditions, such as cancer, obesity, surgery, and
40 infection [1] [4]. Understanding the pathophysiological mechanisms and risk factors associated
41 with VTE is crucial for its effective prevention, diagnosis, and treatment.

42 Matrix metalloproteinases (MMPs) belong to the zinc-dependent endopeptidase family and
43 have the ability to degrade virtually all constituents of the extracellular matrix, including elastin,
44 fibronectin, and collagen, thereby promoting tissue repair and regeneration [5,6]. MMPs help
45 maintain the delicate balance between extracellular matrix turnover and homeostasis under
46 physiological conditions. Recent studies have revealed that MMPs can also participate in
47 immune regulation, transcriptional control, and cell signaling [7]. Understanding the intricate
48 biology of MMPs and their regulatory mechanisms has spurred advancements in basic,

49 preclinical, and clinical investigations. Clinical trials have particularly focused on the
50 effectiveness of MMP inhibitors in treating a variety of diseases, including cardiovascular,
51 neurological, oncological, and inflammatory conditions [8-11].

52 Mendelian randomization is a statistical method used to evaluate causal relationships by
53 leveraging genetic variants as instrumental variables (IVs) [12]. Using genetic variants as IVs
54 can prevent reverse causation and confounding bias, enabling a more accurate assessment of
55 the causal relationship between exposures and outcomes [13-15].

56 To our knowledge, relatively few studies have investigated the relationship between MMP
57 levels and VTE. The current study aimed to examine the causal effect of MMP levels on VTE
58 (including PE and DVT). By elucidating the role of MMPs in VTE, we hope to identify novel
59 diagnostic and therapeutic targets that can ultimately improve patient outcomes and reduce the
60 burden of this life-threatening condition.

61

62

63 **MATERIALS AND METHODS**

64 **Study design**

65 This study utilized a 2-sample MR design to explore the causal associations between serum
66 MMP levels and the occurrence of VTE (including PE and DVT). An MR study is based on 3
67 core assumptions. First, the instrumental variable is strongly associated with the exposure.
68 Second, the instrumental variable is independent of confounders. Third, the instrumental
69 variable affects the outcome solely via the exposure (Figure 1) [13-15].

70 **Data source**

71 The genetic variants associated with serum MMP levels were identified in a study by Folkersen
72 et al. This research evaluated 90 candidate biomarkers linked to cardiovascular risk in 21,758
73 individuals across 1313 cohorts of European descent [16]. The statistical data are available for

74 download from the SCALLOP CVD-I online resource.

75 The FinnGen Project is a substantial public-private initiative that aims to collect and analyze
76 genome and health data from 500,000 participants in Finnish biobanks. We extracted summary-
77 level GWAS data for VTE (including DVT and PE) from the FinnGen consortium (Release 9,
78 <https://r9.finnngen.fi/>). This dataset included 19,372 cases and 357,905 controls for VTE
79 (Phenocode: I9_VTE), 9,109 cases and 324,121 controls for DVT (Phenocode:
80 I9_PHELETHROMBDVTLOW), and 9,243 cases and 367,108 controls for PE (Phenocode:
81 I9_PULMEMB). The definitions of VTE, DVT, and PE were based on the International
82 Classification of Diseases, ninth revision.

83

84 **Selection of IVs**

85 We selected the single nucleotide polymorphisms (SNPs) to be used as IVs based on the
86 following criteria: First, SNPs were strongly associated with the MMP ($p < 5 \times 10^{-8}$). Second, to
87 prevent weak instrument bias, the F-statistic for each SNP was greater than 10. Third, LD
88 clumping was used to exclude SNPs in linkage disequilibrium ($r^2 < 0.1$ and distance $< 10,000$ kb)
89 [17,18]. Fourth, the Mendelian randomization pleiotropy residual sum and outlier (MR-
90 PRESSO) test was used to remove potentially pleiotropic SNPs [19]. Fifth, to prevent reverse
91 causality, Steiger filtering was used to identify SNPs indicative of causality in the reverse
92 direction, which were then removed [20,21]. The detailed SNP statistics are presented in the
93 supplemental Tables S2-S16. These include the SNP, sample size, effect allele, other allele, β ,
94 standard error, p-value, effect allele frequency (EAF), and the number of SNPs for the
95 exposures and outcomes of all analyses.

96 **Statistical analysis**

97 The primary method used for this analysis was the random-effect inverse-variance weighting
98 (IVW) method [20]. Complementary methods included the weighted mode, weighted median,
99 MR-Egger, and simple mode methods. The MR-Egger intercept test and the Cochran Q test
100 were utilized to assess horizontal pleiotropy and heterogeneity, respectively [22]. A p-value <
101 0.05 indicated the presence of horizontal pleiotropy and heterogeneity. We employed the MR-
102 PRESSO method to identify heterogeneous outlier SNPs and to provide a corrected estimate
103 after their removal [23,24].

104 To prevent reverse causality, Steiger filtering was employed to identify SNPs indicative of
105 causality in the opposite direction. Additionally, the MR-Steiger directionality test was utilized
106 in our analysis [21]. To mitigate the risk of weak instrument bias, the F-statistic for each SNP
107 was calculated. R^2 represents the variance explained by each SNP, calculated as $R^2 = 2 \times (1 -$
108 $EAF) \times \beta^2 \times EAF$; $F = R^2 / (1 - R^2) \times (N - 2)$. Here, β represents the effect size; EAF denotes
109 the effect allele frequency; and N is the number of individuals [25,26]. Power calculations were
110 conducted using the online tool mRnd, based on the outcome sample size, proportion of cases,
111 R^2 sum, and a type I error rate of 0.05 [27,28].

112 A scatter plot and leave-one-out plot were utilized to visualize the results of our study. Given
113 the multiple analyses conducted, a Bonferroni-corrected p-value of less than 0.0033 (0.05/15)
114 was deemed statistically significant. A p-value between 0.0033 and 0.05 was considered
115 suggestive evidence. The analyses were performed using R software (version 4.2.3) and the
116 TwoSampleMR R package.

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119

120 **RESULTS**

121 **Primary MR Analysis**

122 Figure 2 presents the primary results of this MR study using the IVW method. A p-value less
123 than 0.0033 was considered statistically significant, while a p-value ranging from 0.0033 to
124 0.05 was regarded as suggestive evidence. Seven trait pairs exhibited statistically significant
125 differences before the Bonferroni correction was applied. Serum MMP-1 levels were
126 associated with an increased risk of PE (odds ratio [OR], 1.06; 95% confidence interval [CI],
127 1.01–1.10; p=0.0104). Serum MMP-3 levels were associated with a higher risk of DVT (OR,
128 1.05; 95% CI, 1.01–1.09; p=0.0120) and a lower risk of PE (OR, 0.95; 95% CI, 0.92–0.99;
129 p=0.0058). Serum MMP-7 levels were associated with a lower risk of PE (OR, 0.90; 95% CI,
130 0.84–0.97; p=0.0047). Serum MMP-10 levels were associated with a higher risk of VTE (OR,
131 1.04; 95% CI, 1.00–1.08; p=0.0461). Serum MMP-12 levels were associated with a higher
132 risk of VTE (OR, 1.04; 95% CI, 1.01–1.07; p=0.0015) and PE (OR, 1.06; 95% CI, 1.02–1.10;
133 p=0.0053). However, after applying the Bonferroni correction, only the association between
134 serum MMP-12 levels and a higher risk of VTE remained significant. The scatter plots of
135 significant MR results before the Bonferroni correction are displayed in Figure 3.

136

137 **Sensitivity Tests**

138 The weighted mode, MR-Egger, and weighted median methods were used to evaluate the
139 causal association between MMP levels and VTE (including DVT and PE). Although several
140 associations did not show statistical significance, the results were in the same direction as those
141 obtained using the primary IVW method (Supplementary Table 1).

142 The pleiotropy of the study was evaluated using the MR-Egger regression method, and no
143 significant pleiotropy was detected in our analyses (p for intercept > 0.05). The study's
144 heterogeneity was assessed with the Cochran Q test, and no significant heterogeneity was
145 observed in our analyses, except for the association between MMP-12 levels and PE (Table 1).
146 Due to the presence of heterogeneity, both the MR-PRESSO outlier test and leave-one-out
147 analysis were conducted to identify and eliminate outlier SNPs (Supplementary Figures S1-
148 S7). Additionally, random-effect models were employed in our analysis to reduce the impact
149 of heterogeneity. The MR-Steiger directionality test yielded a result of “true” for all tests,
150 indicating the absence of reverse causal associations.

151 The statistical power for the main analyses met the 80% threshold, with the exception of the
152 association between MMP-10 and VTE. This discrepancy may be attributed to the minimal
153 variance in MMP-10 explained by the selected IVs. Therefore, caution is advised when
154 interpreting this particular result. Overall, however, our results are considered relatively
155 reliable.

156

157 **DISCUSSION**

158 In our study, we employed the MR method to explore the causal relationship between serum
159 MMP levels and the incidence of VTE, which includes DVT and PE. Following Bonferroni
160 correction, the findings suggested that elevated serum MMP-12 levels are associated with an
161 increased risk of VTE.

162 To our knowledge, this study is the first to explore the causal relationship between serum MMP
163 levels and VTE. VTE is a disease with multiple causes, including inherited genetic factors and
164 acquired factors. It is also a common complication in patients who are bedridden for extended
165 periods and those who have undergone surgery [1,4]. In clinical practice, D-dimer serves as a
166 crucial laboratory marker for patients at low risk, boasting a sensitivity of up to 95% in

167 diagnosing VTE. However, its specificity is low [29]. Therefore, it is necessary to identify new
168 biomarkers that offer both high sensitivity and specificity.

169 Some clinical and basic foundational studies have investigated the association between MMPs
170 and thrombi. One study found that the upregulation of MMPs is involved in left atrial
171 appendage thrombus formation in elderly people [30]. Another study demonstrated a
172 disequilibrium of MMPs in the superficial venous wall in patients with superficial venous
173 thrombosis. Therefore, MMPs may be implicated in the pathogenesis of superficial venous
174 thrombosis [31]. Furthermore, in a mouse model of myocardial infarction, increased MMP
175 levels were associated with the formation of intracardiac thrombi [32].

176 Previous studies also investigated the causal effect of MMP levels on ischemic stroke through
177 Mendelian randomization. The first study examined the causal effects of MMP-1, MMP-8, and
178 MMP-12 levels on ischemic stroke. It found that lower serum levels of MMP-12 were
179 associated with an increased risk of ischemic stroke, lower serum levels of MMP-1 and MMP-
180 12 were linked to an increased risk of large-artery stroke, and higher serum levels of MMP-8
181 were associated with an increased risk of small vessel stroke [33]. The second Mendelian study
182 focused on the association between MMP-8 levels and ischemic stroke and its subtypes, finding
183 that higher serum levels of MMP-8 were associated with increased risks of small vessel stroke
184 [34]. The third Mendelian study investigated promising therapeutic targets for ischemic stroke
185 identified from plasma and cerebrospinal fluid proteomes. Using different databases, it found
186 that lower serum levels of MMP-12 were associated with an increased risk of ischemic stroke
187 [35]. These 3 Mendelian randomization studies showed relatively consistent results.

188 However, epidemiological studies investigating the association between MMP-8 levels and the
189 risk of stroke have yielded inconsistent results; several studies have demonstrated a significant
190 correlation [36,37], while others have not [38,39]. There are many reasons for this divergence.

191 First, observational studies suffer from several methodological limitations for causal inference,

192 including inherent biases such as confounding and reverse causation. Second, the databases for
193 stroke in Mendelian randomization studies were mainly from individuals of European descent,
194 whereas the observational cohort was ethnically diverse. Third, the clotting process during
195 serum preparation is known to release MMPs from circulating leukocytes. Therefore,
196 measuring this proteinase from serum also reflects the potential of the neutrophils to
197 degranulate and release it, and this degree may depend on genetic variations [40]. Overall,
198 larger studies are needed to confirm the causal relationships between serum MMP levels and
199 stroke.

200 Although the precise relationship between MMP-12 levels and VTE is not fully understood,
201 with ongoing research, blood stasis, endothelial damage, and hypercoagulability are recognized
202 as the three primary components of thrombosis [41]. MMP-12 was first identified and
203 characterized in macrophages [42,43]. This macrophage-derived MMP-12 can break down the
204 protein that connects endothelial cells, resulting in cell apoptosis and injury [43]. Additionally,
205 in a model of vascular injury, biomarkers associated with vascular damage were found to be
206 reduced in MMP-12 knockout mice [44].

207 In addition to its degradative mechanism, MMP-12 promotes inflammatory responses, which
208 are crucial for the development of thrombosis. In mice with MMP-12 overexpression, there is
209 an increase in chemokine secretion and the recruitment of inflammatory cells, such as
210 macrophages, to the sites of inflammation [45]. In MMP-12 knockout mice, the inflammation
211 was comparatively more attenuated than in control mice [46].

212 Furthermore, MMP-12 has been implicated in the regulation of fibrinolysis, a process in which
213 blood clots are broken down [47]. It inhibits fibrinolysis by degrading plasminogen activators,
214 which are essential for the breakdown of fibrin clots. This inhibition may contribute to the
215 persistence and growth of blood clots in VTE [48,49]. Overall, the relationship between MMP-

216 12 levels and VTE involves vascular injury, modulation of inflammatory responses, and
217 regulation of fibrinolysis. However, further research is needed to fully understand the complex
218 interactions and potential mechanisms involved in this association.

219 In our analysis, before applying the Bonferroni correction, serum MMP-3 levels were
220 associated with an increased risk of DVT (OR, 1.05; 95% CI, 1.01–1.09; $p=0.0120$) and a
221 decreased risk of PE (OR, 0.95; 95% CI, 0.92–0.99; $p=0.0058$). However, these associations
222 disappeared after the Bonferroni correction was applied. Pulmonary embolism comprises a
223 group of clinical syndromes characterized by the obstruction of the pulmonary artery or its
224 branches by various emboli. These emboli can include materials such as thrombus, fat, air,
225 amniotic fluid, bone marrow, metastatic cancer, bacteria, and cardiac organisms. Deep vein
226 thrombosis involves the clotting of venous blood within the deep veins of the lower extremities
227 and does not necessarily result in pulmonary embolism. Further research is required to
228 elucidate these discrepancies.

229 All results presented in this study were based on the IVW method. Various types of sensitivity
230 analysis further confirmed the strength and reliability of our findings. The IVW method is
231 likely to provide the most reliable causal estimates. When exploring the association between
232 MMP-12 levels and VTE, the MR Egger method ($p=0.087$) and the weighted mode method
233 ($p=0.006$) appeared to be somewhat inconsistent with the main findings. Although the p -values
234 did not reach statistical significance, the different MR methods (IVW, MR-Egger, and
235 weighted mode) demonstrated directionally consistent results, leading us to consider our results
236 robust.

237 An advantage of this study is that it employed the MR method to investigate the relationship
238 between MMP levels and VTE, effectively reducing the influence of confounding factors and
239 avoiding erroneous causal associations. The GWAS data for MMPs and VTE were obtained
240 from a large cohort. Furthermore, sensitivity analyses showed no significant horizontal
241 pleiotropy or heterogeneity in our study, and the Steiger directionality test did not indicate any
242 significant reverse causal relationships.
243 However, our study also had some limitations. First, although the cohort was considerably large,
244 it is important to note that all patients were of European descent. Therefore, the findings may
245 not be applicable to other populations. Second, we investigated only the causal association
246 between five specific MMPs and VTE. The GWAS data for other MMPs were relatively limited,
247 resulting in an extremely small number of SNPs available for analysis. Third, we could not
248 perform a subgroup analysis because the GWAS data consisted only of summary-level statistics.
249 Fourth, the statistical power for the association between MMP-10 and VTE did not reach the
250 80% threshold, which could be due to the minimal variance in MMP-10 explained by the
251 selected instrumental variables. Therefore, caution is advised when interpreting the results.

252 **Conclusions**

253 In conclusion, this MR study established a causal relationship between MMP-12 levels and
254 VTE, which could significantly impact the diagnostic and therapeutic approaches for VTE.
255 Further research is required to confirm these findings and investigate the underlying
256 mechanisms.

257

258 **Data availability**

259 All the datasets used in the present study are publicly available. The data generated or
260 analyzed during this study have been included in this published article.

261 **Conflict of Interest**

262 The authors have no conflicts of interest to declare for this study.

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269 **Author contributions**

270 Conceptualization: Han D, Zheng L. Data curation: Han D Yu F. Formal analysis: Han D Yu F.
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272 Visualization: Han D Yu F. Writing – original draft: Han D Yu F. Writing – review & editing:
273 Zheng L.

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275 **ORCID**

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409 **Table 1.** Heterogeneity and pleiotropy tests of the significance of causal effects of MMPs on

410 VTE

Exposure	Outcome	Pleiotropy			Heterogeneity	
		Intercept	SE	p-value	Q	p-value
MMP1	VTE	-0.005	0.006	0.448	52.521	0.059
MMP1	DVT	0.007	0.009	0.469	54.215	0.053
MMP1	PE	0.004	0.009	0.658	52.579	0.072
MMP3	VTE	0.007	0.005	0.148	61.105	0.208
MMP3	DVT	0.001	0.007	0.841	62.543	0.199
MMP3	PE	-0.002	0.006	0.760	56.594	0.378
MMP7	VTE	-0.001	0.007	0.854	21.751	0.194
MMP7	DVT	0.000	0.009	0.988	11.071	0.853
MMP7	PE	-0.009	0.010	0.367	20.029	0.219
MMP10	VTE	0.003	0.007	0.682	38.413	0.072
MMP10	DVT	0.003	0.009	0.780	36.935	0.120
MMP10	PE	-0.003	0.010	0.741	42.412	0.030
MMP12	VTE	0.000	0.004	0.965	62.097	0.159
MMP12	DVT	-0.001	0.006	0.826	40.464	0.877
MMP12	PE	0.003	0.007	0.722	82.454	0.005

411

412 MMP, matrix metalloproteinase; VTE, venous thromboembolism; DVT, deep vein thrombosis;

413 PE, pulmonary embolism; SE, standard error

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417

418 **Figure legends**

419

420 **Fig. 1** Three core assumptions of the 2-sample MR design in this study

421 MMP, matrix metalloproteinase; VTE, venous thromboembolism; DVT, deep vein
422 thrombosis; PE, pulmonary embolism.

423

424 **Fig. 2** The causal association between MMP and VTE (including DVT and PE) using the
425 inverse-variance weighted mendelian randomization method. A p-value <0.0033 was
426 considered statistically significant. A p-value ranging from 0.0033 to 0.05 was regarded as
427 suggestive evidence.

428

429 OR, odds ratio; CI, confidence interval; MMP, matrix metalloproteinase; VTE, venous
430 thromboembolism; DVT, deep vein thrombosis; PE, pulmonary embolism.

431

432 **Fig. 3** Trends in the causal associations between (A) the MMP-1 level and PE, (B) the MMP-
433 3 level and PE, (C) the MMP-3 level and DVT, (D) the MMP-7 level and PE, (E) the MMP-
434 10 level and VTE, (F) the MMP-12 level and VTE, and (G) the MMP-12 level and PE.

435 MMP, matrix metalloproteinase; VTE, venous thromboembolism; DVT, deep vein
436 thrombosis; PE, pulmonary embolism.

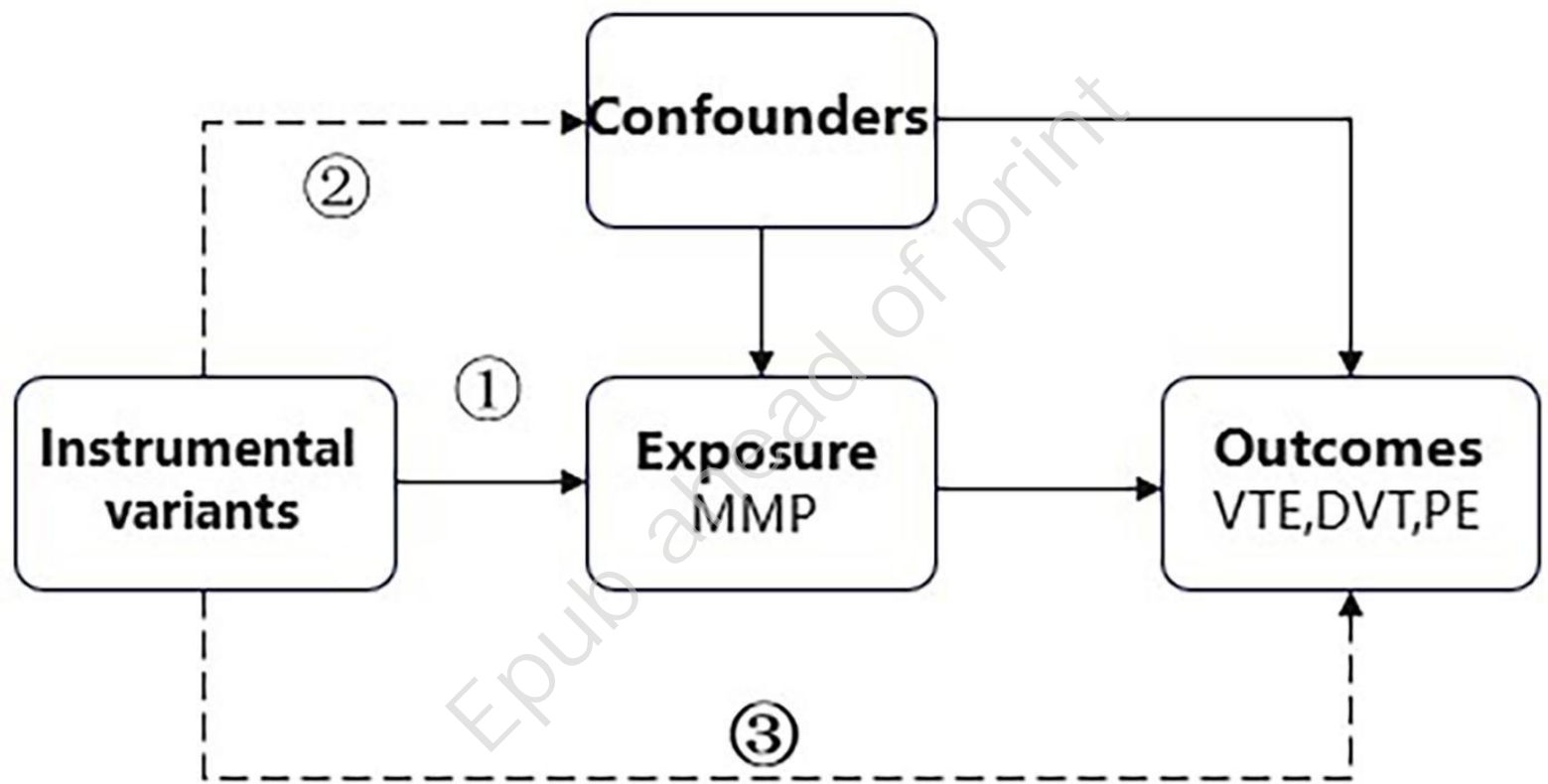
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Table 1. Heterogeneity and pleiotropy test of the significance causal effect of MMPs on VTE

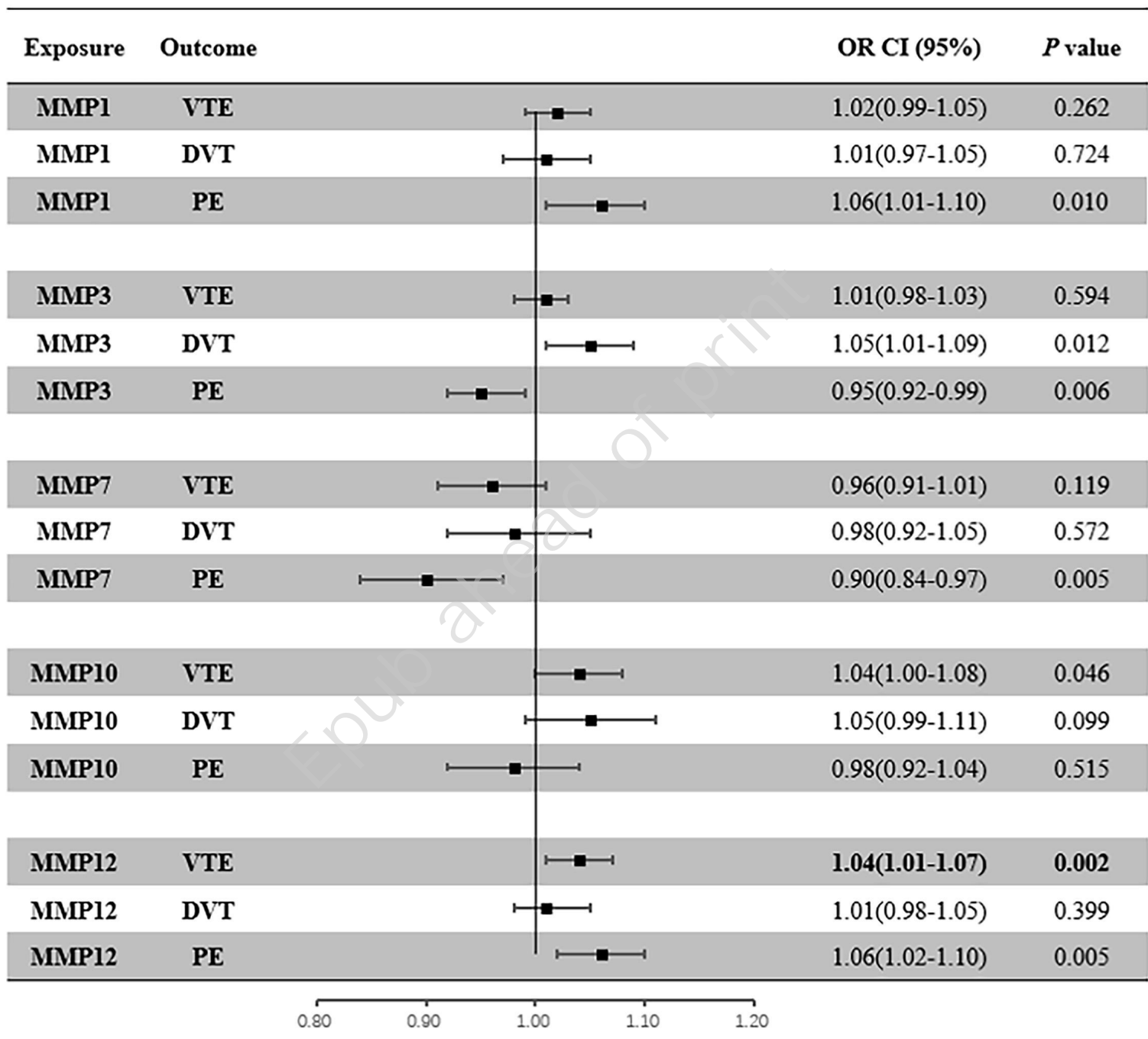
Exposure	Outcome	Pleiotropy			Heterogeneity	
		Intercept	SE	P-value	Q	P-value
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MMP-1	DVT	0.007	0.009	0.469	54.215	0.053
MMP-1	PE	0.004	0.009	0.658	52.579	0.072
MMP-3	VTE	0.007	0.005	0.148	61.105	0.208
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MMP, matrix metalloproteinase; VTE, venous thromboembolism; DVT, deep vein thrombosis; PE, pulmonary embolism; SE, standard error

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